

IFW 2/35

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

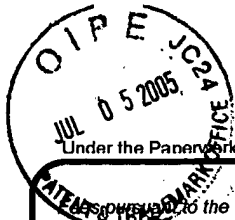
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/878,536	
	Filing Date	6/11/2001	
	First Named Inventor	Paul B. Patrick	
	Art Unit	2135	
	Examiner Name	Pich, Ponnoreay	
Total Number of Pages in This Submission	12	Attorney Docket Number	BEAS-01084US0

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Copy of International Search Report
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	(2) Notice of References Cited from related matters
<input checked="" type="checkbox"/> (6) Cited Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Post Card
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Fliesler Meyer LLP		
Signature			
Printed name	Karl F. Kenna		
Date	June 30, 2005	Reg. No.	45,445

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name	Teri Muir	Date	6-30-05

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Effective on 12/08/2004.

As amended by the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**Complete if Known**

Application Number	09/878,536
Filing Date	6/11/2001
First Named Inventor	Paul B. Patrick
Examiner Name	Pinch, Ponnoreay
Art Unit	2135
Attorney Docket No.	BEAS-01084US0

TOTAL AMOUNT OF PAYMENT (\$)**180.00****METHOD OF PAYMENT** (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☐ Deposit Account Deposit Account Number: 06-1325 Deposit Account Name: 23910 - Fliesler Meyer LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims 39 - 20 or HP = 19 x 360 = 6840 **Fee Paid (\$)**

HP = highest number of total claims paid for, if greater than 20

Indep. Claims 39 - 3 or HP = 36 x 200 = 7200 **Fee Paid (\$)**

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
<u>39</u> - 100 = <u>1</u>	<u>1</u> / 50 = <u>1</u>	<u>1</u> (round up to a whole number)	<u>250</u>	<u>250</u>

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Fee Code: 1806 Information Disclosure Statement 180.00**SUBMITTED BY**

Signature	<u>Karl F. Kenna</u>	Registration No. (Attorney/Agent) <u>45.445</u>	Telephone <u>415.362.3800</u>
Name (Print/Type)	<u>Karl F. Kenna</u>	Date	<u>June 30, 2005</u>

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application

Inventor(s): Paul Patrick

Appl. No.: 09/878,536

Confirm. No.: 4065

Filed: June 11, 2001

Title: SYSTEM AND METHOD FOR
SERVER SECURITY AND
ENTITLEMENT PROCESSING

PATENT APPLICATION

Art Unit: 2135

Examiner: Pich, Ponnoreay

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**, on June 30, 2005.

(Attorney Signature)

Karl F. Kenna, Reg. No. 45,445

Signature Date: June 30, 2005

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☐ The present application is being/was filed after June 30, 2003. In accordance with the pre-official gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at: pac/dapp/opla/preognotice/idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. However, copies of cited foreign

patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98(a)(2), as still required, except for those items designated by an asterisk (*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. §120 is claimed, with an *Information Disclosure Statement* submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1).

✓ The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed, except for those items designated by an asterisk (*), which were previously submitted by the applicant in a parent application, from which benefit under 35 U.S.C. §120 is claimed, with an *Information Disclosure Statement* submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1).

— If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

— ***PTA Statement under 37 C.F.R. §1.704(d).*** Each item of information contained in the *Information Disclosure Statement* was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the *Information Disclosure Statement*.

✓ A copy of International Preliminary Examination Report dated December 1, 2004.

— **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

✓ **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- ___ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).

-- OR --

- ✓ (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

___ **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the Issue Fee;

-- AND --

- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);

-- AND --

- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER MEYER LLP

Date: _____

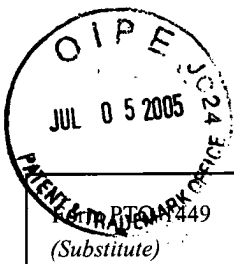
June 30, 2005

By: _____



Karl F. Kenna
Reg. No. 45,445

FLIESLER MEYER LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone (415) 362-3800



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <i>Information Disclosure Statement</i> BY APPLICANT <i>(Use several sheets if necessary)</i>	Attorney Docket Number BEAS-01084US0	Serial/Patent Number 09/878,536
	Applicant/Patent Owner Paul Patrick	
	Filing/Issue Date June 11, 2001	Group Art Unit 2135

U.S. PATENTS

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	5,848,396	12-8-98	Gerace	705	10	4-26-96
	6,029,182	2-22-00	Nehab et al.	715	523	10-4-96
	6,141,010	10-31-00	Hoyle	715	854	7-17-98
	6,157,924	12-5-00	Austin	707	10	11-7-97
	6,241,608	6-5-01	Torango	463	27	1-9-98
	6,260,050	7-10-01	Yost et al.	715	501.1	6-30-99
	6,269,393	7-31-01	Yost et al.	709	201	6-19-00
	6,285,985	9-4-01	Horstmann	705	14	4-3-98
	6,308,163	10-23-01	Du et al.	705	8	3-16-99
	6,539,375	3-25-03	Kawasaki	707	5	8-4-99
	6,571,247	5-27-03	Danno et al.	707	100	11-2-99
	6,587,849	7-1-03	Mason et al.	707	5	12-10-99
	6,754,672	7-22-04	McLauchlin	707	104.1	10-19-00

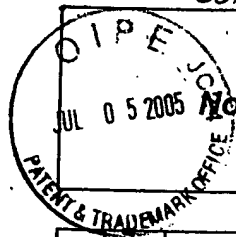
U.S. PATENT PUBLICATIONS

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant
	2002/0069261	6-6-02	Bellare et al.
	2002/0173971	11-21-02	Stirpe et al.
	2003/0131113	7-10-03	Reeves et al.
	2003/0229623	12-11-03	Chang et al.

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

	Sundsted, Todd, "JNDI Overview, Part 1: "An Introduction to Naming Services", JavaWorld, Jan. 2000,pp.1-6
--	---

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)		
		Moore, Bill, et al., Migrating WebLogic Applications to WebSphere Advanced Edition", IBM Redbooks, Jan 2001, pp. 1, 3-4, 109-111 and 181-195.
		Barrett, Alexandra, "Trying Out Transactions", SunExpert Magazine, Jan. 1999, pp. 57-59.
		Ayers, Danny, et al., "Professional Java Server Programming" WROX Press, Ltd. Birmingham, UK, Dec. 1999, pp: 515-545.
		Ford, Nigel; "Web Developer.com Guide to Building Intelligent Web Sites with JavaScript", Wiley Computer Publishing, New York, NY (c) 1998, pp. 65-86, 96-98, 101-102, 245-250, 324-324.
		Microsoft Computer Dictionary, 4 th Edition, Microsoft Press, Redmond, WA (c) 1999, p. 489.
Examiner		Date Considered
<p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>		
<p>*1 = Copy not submitted because it was submitted in prior application SN ____ / ____, filed ____, 20__, relied on under 35 USC §120.</p>		
<p>*2 = Copy not submitted because it was submitted in prior application SN ____ / ____, filed ____, 20__, relied on under 35 USC §120.</p>		



Notice of References Cited

Application/Control No.

10/122,599

Applicant(s)/Patent Under
Reexamination
SMITH ET AL.

Examiner

Robert M Stevens

Art Unit

2176

Page 1 of 2

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,587,849 ✓	07-2003	Mason et al.	707/5
	B	US-6,269,393 ✓	07-2001	Yost et al.	709/201
	C	US-6,260,050 ✓	07-2001	Yost et al.	715/501.1
	D	US-6,241,608 ✓	06-2001	Torango, Lawrence J.	463/27
	E	US-6,157,924 ✓	12-2000	Austin, Pamela Sue	707/10
	F	US-6,029,182 ✓	02-2000	Nehab et al.	715/523
	G	US-6,754,672 ✓	06-2004	McLauchlin, Andrew William	707/104.1
	H	US-2002/0173971 ✓	11-2002	Stirpe et al.	705/1
	I	US-2002/0069261 ✓	06-2002	Bellare et al.	709/218
	J	US-6,539,375 ✓	03-2003	Kawasaki, Charles	707/5
	K	US-6,285,985 ✓	09-2001	Horstmann, Cay	705/14
	L	US-6,141,010 ✓	10-2000	Hoyle, Martin David	715/854
	M	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N				Flesler, Meyer LLP	
	O				File: BEAS-01084US0	
	P				Action Item: <u>Suppl. Info. Disc.</u>	stmt.
	Q				Date Due: <u>February 1, 2005</u>	
	R				Critical Date: <u>February 1, 2005</u>	
	S				Attorney Path: <u>Sam/KAC</u>	
	T				Docketed By: <u>ucm</u>	
					Verified By: <u>Rumek</u>	

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Sundsted, Todd, "JNDI Overview, Part 1: An Introduction to Naming Services", JavaWorld, Jan. 2000, pp. 1-6, (downloaded from: www.javaworld.com/javaworld/jw-01-2000/jw-01-howto_p.html).
	V	Moore, Bill, et al., "Migrating WebLogic Applications to WebSphere Advanced Edition", IBM Redbooks, Jan. 2001, pp. 1, 3-4, 109-111 and 181-195.
	W	Barrett, Alexandra, "Trying Out Transactions", SunExpert Magazine, Jan. 1999, pp. 57-59.
	X	Ayers, Danny, et al., Professional Java Server Programming, Wrox Press, Ltd., Birmingham, UK, Dec. 1999, pp. 515-545.

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Notice of References Cited

Application/Control No.

10/122,599

Applicant(s)/Patent Under
Reexamination
SMITH ET AL.

Examiner

Robert M Stevens

Art Unit

2176

Page 2 of 2

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

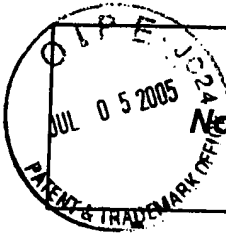
FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Ford, Nigel, Web Developer.com Guide to Building Intelligent Web Sites with JavaScript, Wiley Computer Publishing, New York, NY, © 1998, pp. 65-86, 96-98, 101-102, 245-250 and 324-327.
	V	Microsoft Computer Dictionary, 4th Edition, Microsoft Press, Redmond, WA, © 1999, p. 489.
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



BEAS-01356USD

Notice of References Cited

Application/Control No.

10/367,462

Applicant(s)/Patent Under
Reexamination
GRIFFIN ET AL.

Examiner

Viet Vu

Art Unit

2154

Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-2003/0229623 /	12-2003	Chang et al.	707/3
	B	US-2003/0131113 ✓	07-2003	Reeves et al.	709/229
	C	US-6,571,247 ✓	05-2003	Danno et al.	707/100
	D	US-6,308,163 ✓	10-2001	Du et al.	705/8
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

RECEIVED

JAN 24 2005

FLIESLER MEYER LLP

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Fliesler, Meyer LLP File: BEAS-01084USD
	V	Action Item: <u>Suppl. Info. Dircl. Stmt.</u> Date Due: <u>March 19, 2005</u> Critical Date: <u>March 19, 2005</u>
	W	Attorney Path: <u>SPM/KFK</u> Docketed By: <u>JCM</u>
	X	Verified By: _____ Remyx

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.